

Efficient Generic Drug and Biosimilar Review and Surveillance Processes

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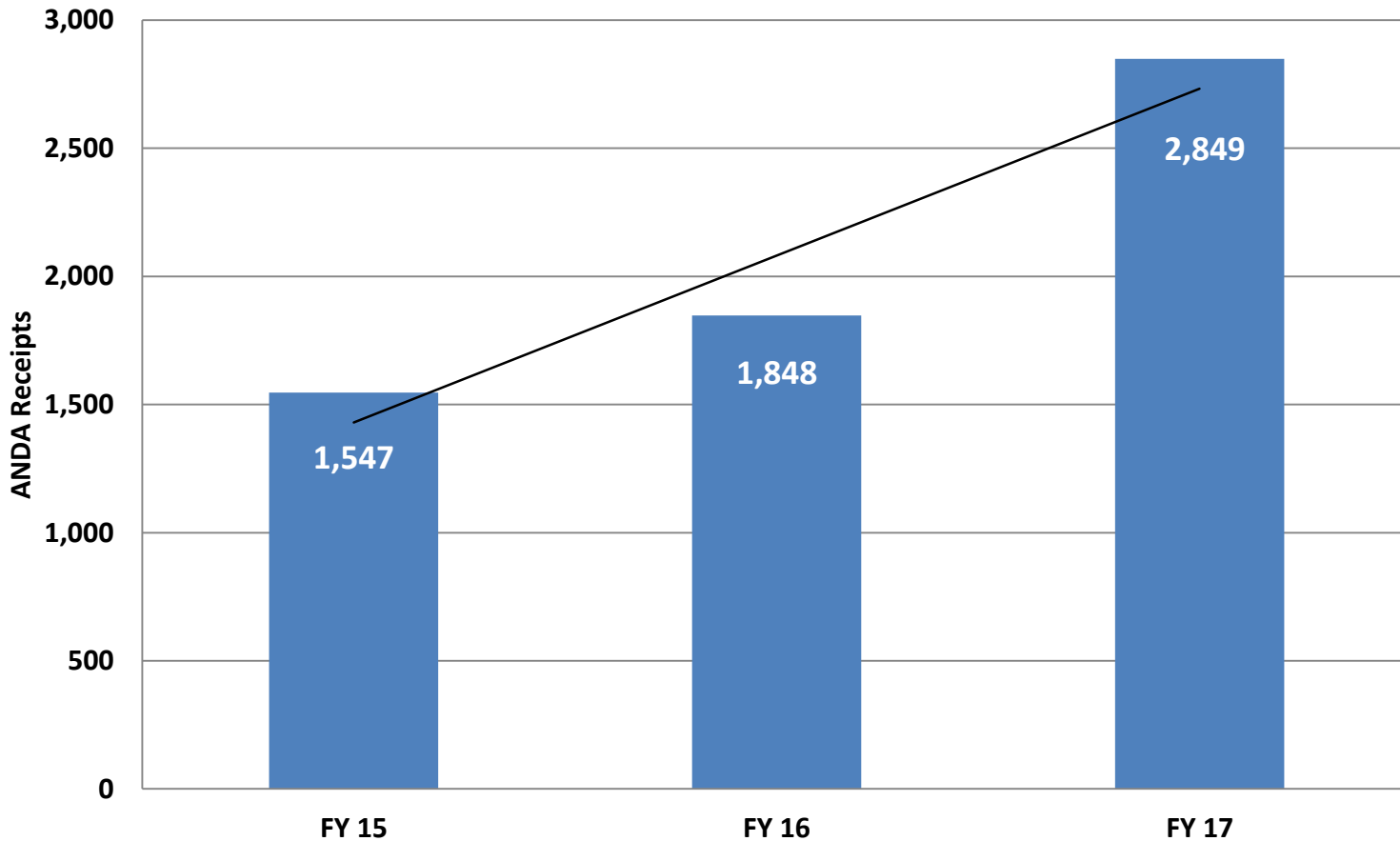
Agenda

- Towards a structured assessment process
- Facility assessment and surveillance
- Current status and challenges for biosimilars program

TOWARDS A STRUCTURED ASSESSMENT PROCESS

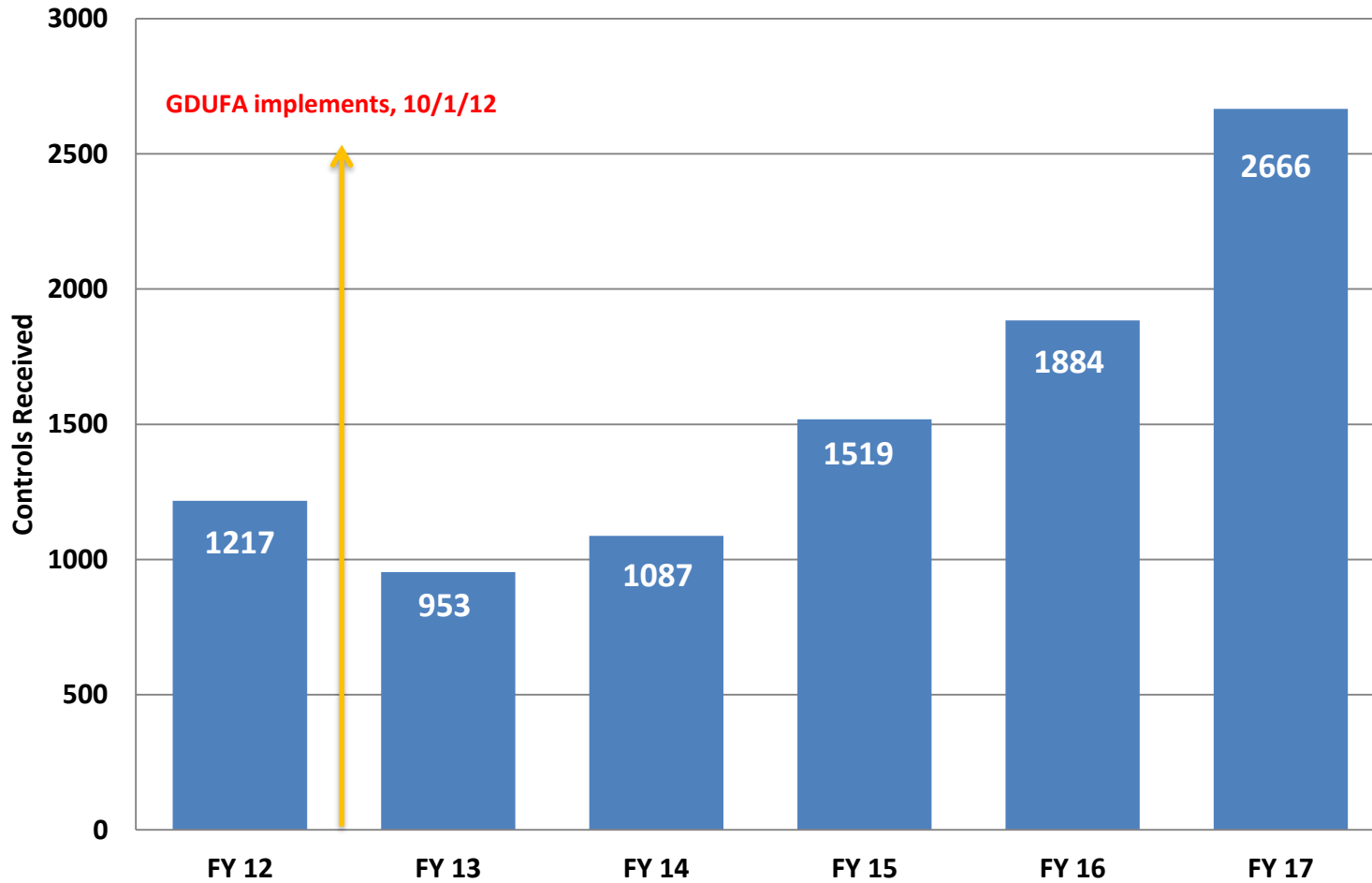
ANDA Receipts

(Originals + CR Responses/Amendments)



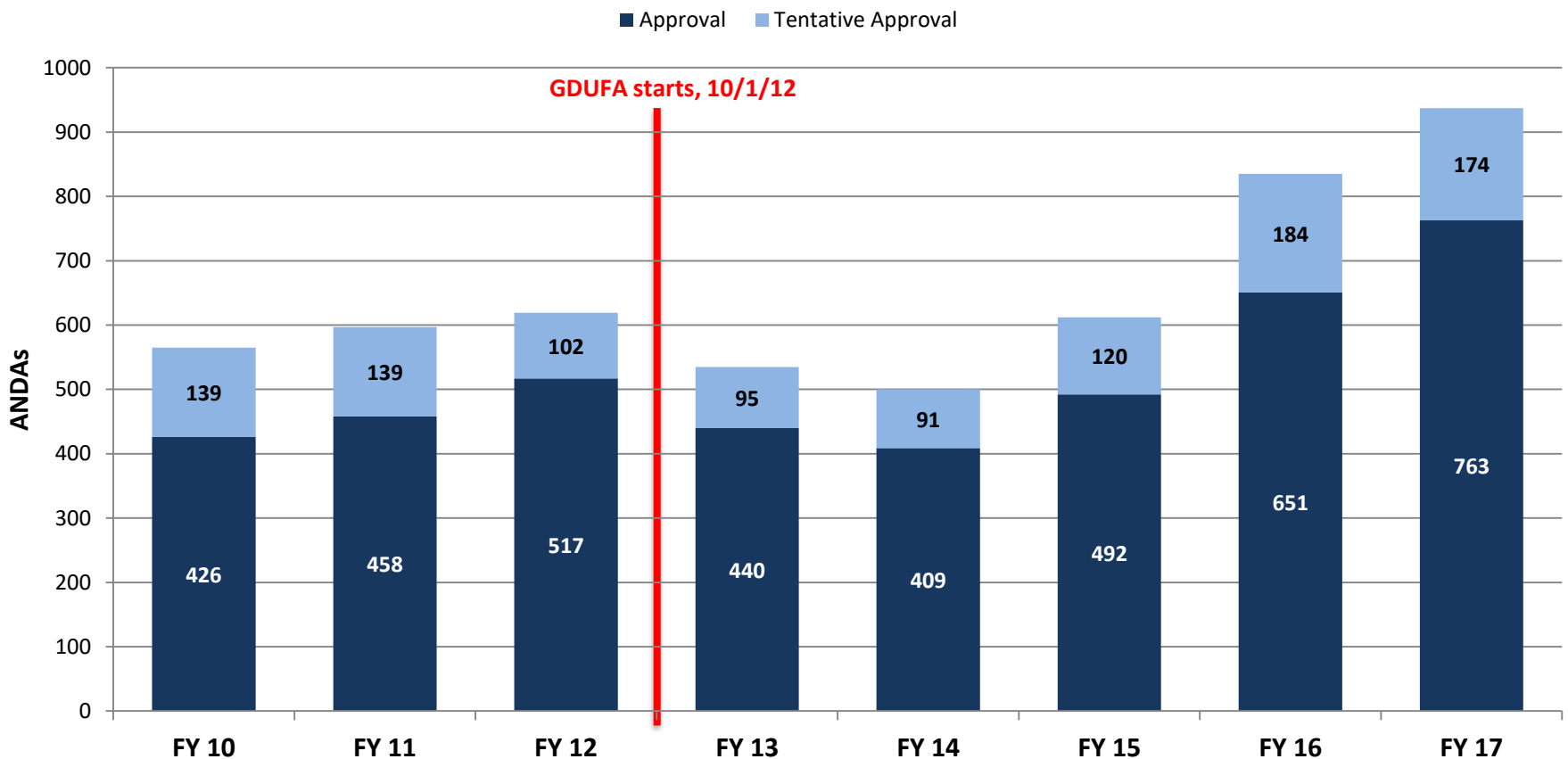
* Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

Controls Received



* Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes. Numbers reflect controls submitted that are accepted for review, as per Controls Guidance for Industry.

Annual Approvals & Tentative Approvals



*Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

REFUSE TO RECEIVE (RTR)

- ~10-30% of ANDAs submitted get RTR
- ~1% fees not paid

| | % ANDAs RTR-ed* |
|------------------------------|-----------------|
| FY2015 (cohort Year 3) | 34.3 |
| FY2016 (cohort Year 4) | 28.3 |
| FY2017 (cohort Year 5**) | 10.5 |
| Overall RTR % FY15-17 | 20.9 |

*Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

**Cohort Year 5 (FY2017) – Given the large number of ANDAs submitted in the end of FY2017, many ANDAs undergoing Filing Review.

FIRST CYCLE APPROVALS*

| | |
|-----------------|--------------|
| FY2015 | 10.7% |
| FY2016** | 14.3% |
| FY2017** | 12.8% |

- Low %
- Lots of rework
- Inefficient use of resources
- Large number of ANDAs “pending” with industry, issued CR letters
- Critical to improve the ANDA Quality UP FRONT

*Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

Cohort Year 3 (FY2016) – Some are still under review and within goal; all mature by December 31, 2017.

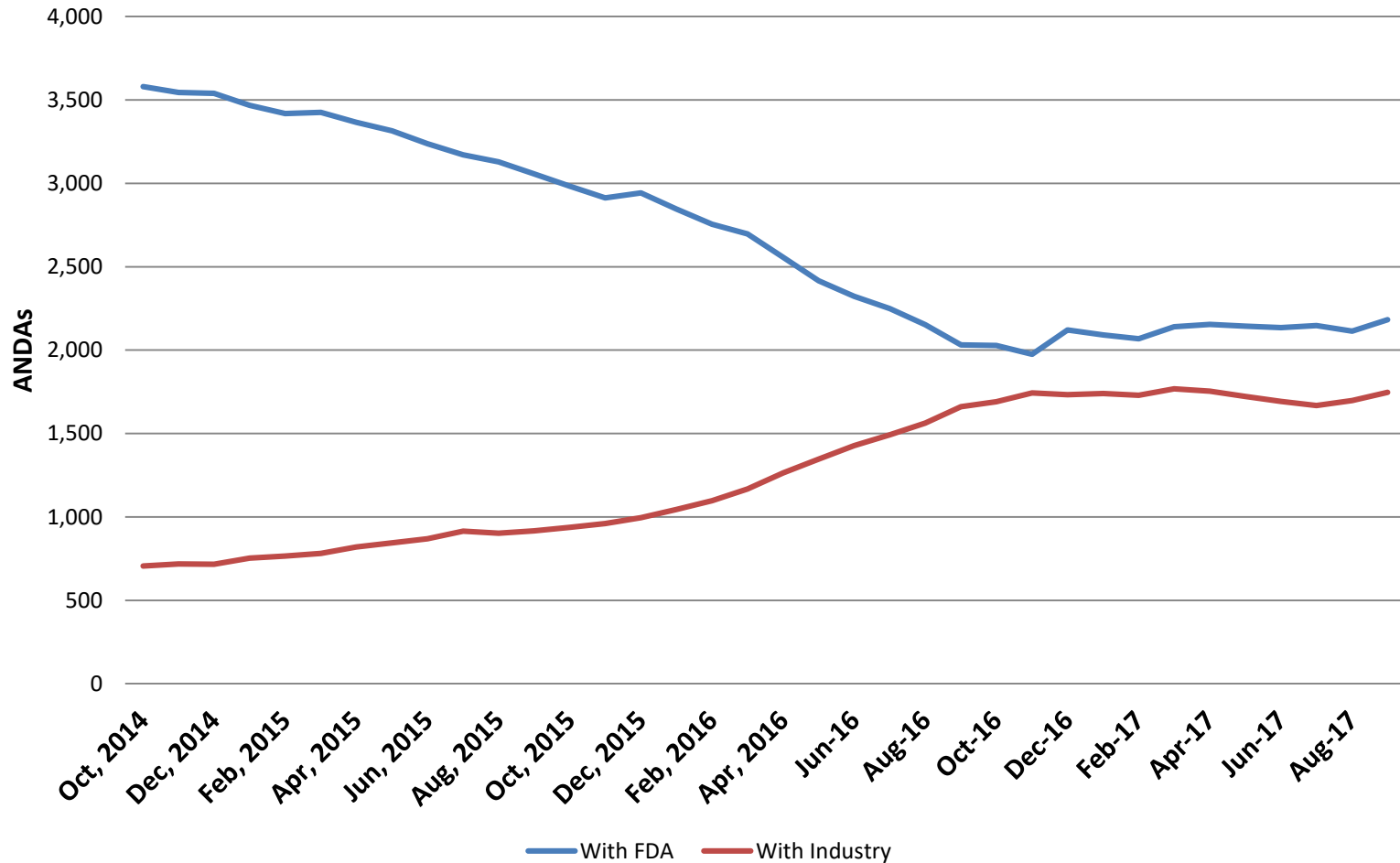
Cohort Year 4 (FY2017) – Many are still under review and within goal; all mature by July 31, 2018.

**Percent represents the current percentage of regulatory actions FDA completed within the review-time goal. Final performance will depend on the outcome of pending submissions.

DEFINITION: The percentage of AP and TA original and original-response to RTR ANDAs that were received for extensive review and were given a regulatory decision (excluding ANDAs under review).

Getting to “Stable Footing”

ANDA Inventory



*Updated 10/1/2017. Numbers are based on preliminary data that will be reviewed and validated for official reporting purposes.

Trajectory Probably not Sustainable under Current Practices

- GDUFA 2 adds additional expectations
- Staff still working flat out
- Still many inefficiencies in review process
- Need to get to more 1st cycle approvals and decrease RTR's to minimize workload on both sides of the application process—industry and FDA
- Clarity of expectations and structuring/standardization of review process key

Current Application Assessment Process—Particularly Quality—very Labor Intensive

- Involves creation of review documents by multiple scientists
- Text documents not very amenable to knowledge management
- Many parts of assessment process relatively standard or could be standardized

Knowledge-aided Assessment and Structured Application (KASA)

- Need a new paradigm for performing quality assessments of applications
- Move toward structured assessment rather than text document
- Allow for knowledge management over the lifecycle AND high efficiency of processing
- Will help in communicating requirements clearly to industry sponsors

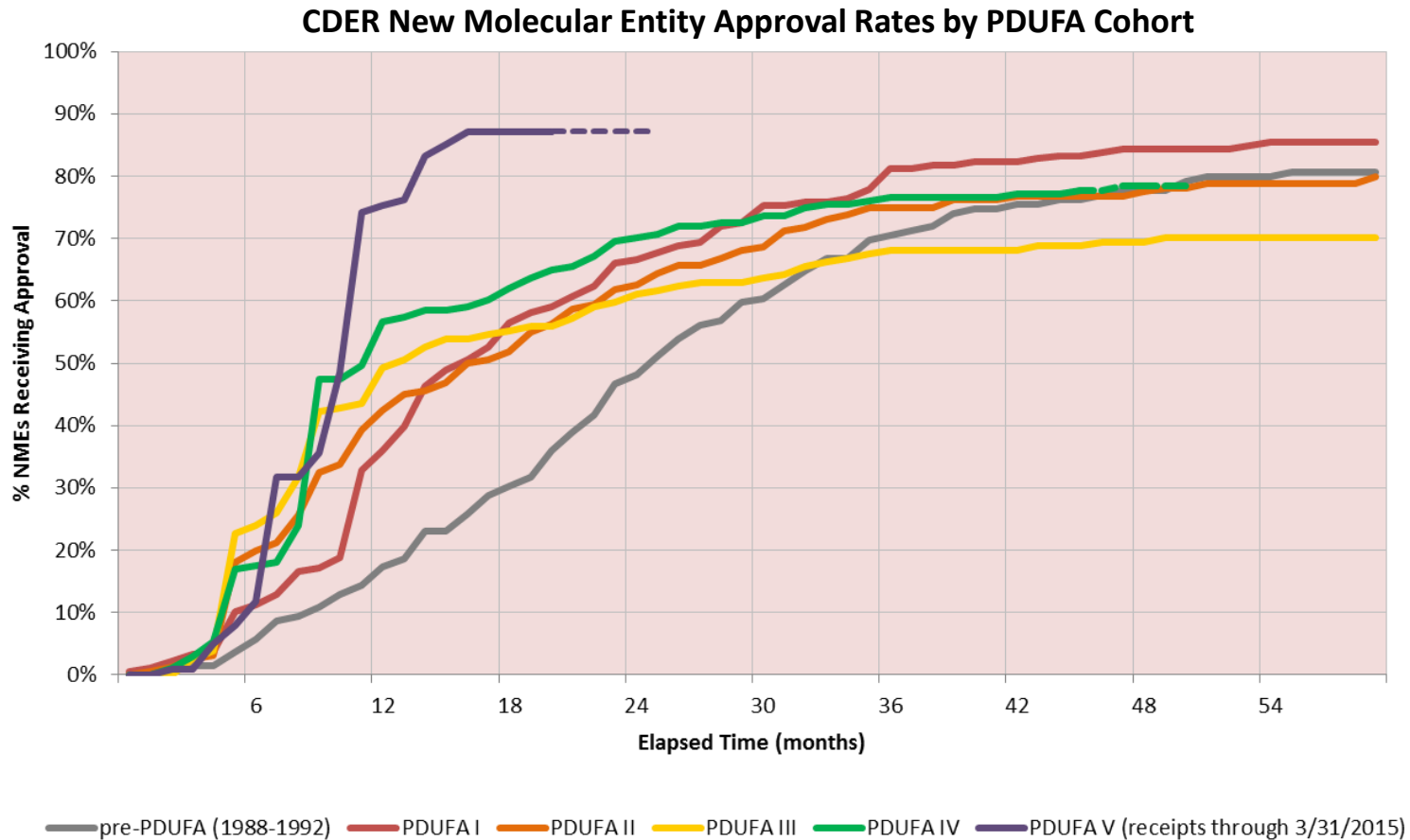
Progress

- Have designed a computer-aided interface to enable lifecycle management and standardized ANDA quality assessment
- Developed and piloted dashboard interface centered around
 - Quality risk assessment for critical quality attributes and corresponding mitigation strategies
 - Control strategies for drug substance and drug product

Initiative

- Plan to develop and implement modules over the next several years
- Without this streamlining, program will be the victim of its own success
- Next steps (post internal FDA implementation) would be to assist industry in better structuring submissions
- Reduce RTR rate and decrease number of cycles before approval

PDUFA Experience: Higher first cycle approval rate achievable



* PDUFA V estimates based on 77 NMEs submitted in FY 2013 – mid FY 2015 (it is too early to estimate performance for later submissions)

Projection estimates account for actions to date and elapsed time to date for non-approvals

Data as of 9/30/16

FACILITY ASSESSMENT AND SURVEILLANCE PROCESS IMPROVEMENTS

Progress on Facility Assessment and Surveillance

- Goal: understand facility inventory and inspect on a regular schedule-ACHIEVED
- Goal: Develop a new “concept of operations” with the re-organized ORA organization and implement—ACHIEVED
- Goal: New compliance program developed and published--ACHIEVED
- Goal: Notify facilities in writing of status 90 days after conclusion of inspection—ACHIEVED so far, since Nov 2017

Additional Objectives

- Develop more sophisticated risk metrics so we can better target higher risk facilities
- Better knowledge management among Office of Surveillance, Office of Process and Facilities, and ORA (some of the information is still in District file cabinets)
- Implement more standardized inspection protocols
 - Better international harmonization of inspection practices

New Inspection Protocol Project (NIPP)

- Goal: Standardized, eventually GRADED processes and categories of observations to ensure better consistency among observers and better communicate assessment of facility status and deficiencies
- Pilot: Sterile facility inspections, continuing to do pilots in this area
- Plan: expand to addition dosage forms
- Would again provide better clarity for industry on requirements
- Across all types of products, not just generic drugs

NIPP

- Ultimately, hope to implement knowledge management so inspectional information will be seamlessly integrated with other data from applications, annual reports, and so forth
- We are currently working on implementation of agreement with the EU on surveillance inspections in countries found to have equivalent inspectional practices
- Currently, not able to integrate this information

PROGRESS ON BIOSIMILARS

Biosimilar Program

- Currently, as you know, we have approved nine biosimilars, no interchangeable biosimilars
- Not all currently marketed due to additional hurdles
- Multiple development programs underway
- We are in the process of adding staff to this review program
- Still have numerous additional guidances, policies, possibly regulations to issue to fully implement program

Acceptance by Medical Community

- We have been conducting targeted outreach programs
- We are seeing acceptance and understanding growing in US practitioners
- Positive experience with early products critical to practitioners and to uptake
- Due to the costs of the innovator products most are subject to “prior authorization” and formulary control--we will see how this all plays out in the US market

Scientific Challenges

- Analytical methods evolve over time
- Better lens to evaluate innovator products and biosimilars alike
- When you look at something with a more powerful microscope, you will find things you did not know about before
- As always, need to understand critical quality attributes and their relationship to clinical outcomes
- Fortunately we have a very scientifically strong scientific staff and policy group and we will be able to sort these issues out

Biosimilars Program

- The standardization we are working towards in the generic drug and facility areas will not be achievable soon in the biosimilar space
- Need to recognize how early we are in this program
- For example, product-specific guidances are not possible until we accumulate more knowledge about the issues
- We continue to learn more about the control of these products and their variability

Summary

- Standardization and streamlining essential for efficient application and facility assessment
- FDA has initiative to carry this out—progress somewhat impeded by workload but efforts are moving forward
- Critical to overall program success
- Biosimilar program is at a different stage—laying out policies and gaining scientific understanding